

In re Application of: Nie et al.  
Serial No. 09/405,653  
Filed: September 24, 1999  
For: WATER-SOLUBLE LUMINESCENT QUANTUM DOTS AND BIOMOLECULAR CONJUGATES  
THEREOF AND RELATED COMPOSITIONS AND METHODS OF USE THEREOF

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

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Sir:

Transmitted herewith is a response to an office action in the subject application.

- ☒ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
- ☐ Petition For Extension Of Time
- ☐ Applicant(s) petitions for a one-month extension of time under 37 C.F.R. § 1.136, the fee for which is \$110.00 (enclosed).
- ☒ Applicant(s) believes that no petition for an extension of time is necessary. However, to the extent that such petition is deemed necessary, applicant(s) hereby petition for a sufficient extension of time to render the present submission timely. Please charge Deposit Account No. 12-1216 for the appropriate petition fee.
- ☒ No additional claim fee is required.
- ☒ Other: Response to Restriction Requirement

The claim fee has been calculated as shown below:

					SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	EXTRA CLAIMS PRESENT	RATE	ADDIT. CLAIM FEE	RATE	ADDIT. CLAIM FEE
TOTAL	25	MINUS	68	=0	x 9=	\$0.00	x 18=	\$0.00
INDEPENDENT	3	MINUS	3	=0	x 39=	\$0.00	x 78=	\$0.00
<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE CLAIM				+ 130=	\$0.00	+ 260=	\$0.00
					TOTAL	\$0.00	TOTAL	\$0.00

- ☐ Please charge my Deposit Account No. 12-1216 in the amount of \$0.00. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$0.00 is attached.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is attached.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

LEYDIG, VOIT &amp; MAYER, LTD.

By

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AMDTRM (Rev. 11/12/1998)



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THE PATENT AND TRADEMARK OFFICE IS RESPECTFULLY REQUESTED TO PLACE ITS STAMP ON THIS POSTAL CARD AND PLACE IT IN THE OUTGOING MAIL TO SHOW THE FOLLOWING PAPERS HAVE BEEN RECEIVED.

MAILED: May 8, 2001  
SAH/dlh

ARTI  
Attorney Docket: 202406



**RESPONSE TO RESTRICTION REQUIREMENT**  
Application No. 09/405,653 filed September 24, 1999  
"WATER-SOLUBLE LUMINESCENT QUANTUM DOTS  
AND BIOMOLECULAR CONJUGATES THEREOF AND  
RELATED COMPOSITIONS AND METHODS OF USE THEREOF"  
Applicant: Nie et al.

**DNG**

Enclosed:

- Response to Restriction Requirement (4 pages)
- Transmittal Sheet (1 page in duplicate)
- Return Postcard

**LH**